

Ergodic Interference Alignment

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Abstract—Consider a K -user interference channel under a block fading model. At any particular time, each receiver will see a signal from most transmitters. The standard approach to such a scenario results in each transmitter-receiver pair achieving a rate proportional to $\frac{1}{K}$ the single user rate. However, given two well chosen time indices, the channel coefficients from interfering users can be made to exactly cancel. By adding up these two signals, the receiver can see an interference-free version of the desired transmission. Thus, each user can achieve an ergodic rate proportional to $\frac{1}{2}$ the single user rate. In this paper, we apply this technique to a finite field interference channel to find the ergodic capacity region. Furthermore, we find a simple description for the achievable ergodic rate region in the Gaussian setting.

I. INTRODUCTION

The interference channel is one of the fundamental building blocks of wireless networks. Following several recent advances, the capacity region of the classical two-user Gaussian interference channel is known exactly for some interesting special cases (e.g. very weak or strong interference), and approximately (within one bit) for all channel conditions [1]. There is also increasing interest in generalizations of the two-user Gaussian interference channel model to more than 2 users and fading channels. However these generalizations turn out to be far from trivial, as they bring in new fundamental issues not encountered in the classical setting. Extensions to more than 2 users have to deal with the possibility of *interference alignment* [2], [3] while extensions to fading channels are faced with the *inseparability* of parallel interference channels [4], [5]. Interference alignment refers to the consolidation of multiple interferers into one effective entity which can be separated from the desired signal in time, frequency, space or signal level dimensions. The inseparability of interference channels refers to the necessity for joint coding across channel states. In other words, for parallel Gaussian interference channels, the capacity cannot be expressed in general as the sum of the capacity of the sub-channels.

The following example presented in [4] to establish the inseparability of parallel interference channels forms the relevant background for this work. Consider the 3-user Gaussian interference channel with the channel matrix:

$$\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{z} \quad (1)$$

where $\mathbf{y} = [Y_1, Y_2, Y_3]^T$, $\mathbf{x} = [X_1, X_2, X_3]^T$, $\mathbf{z} = [Z_1, Z_2, Z_3]^T$ are the vectors containing the received symbols, the transmitted symbols and the zero mean unit variance

additive white Gaussian noise symbols for users indicated by the subscripts. The transmit power constraint for each user is $E[X_k^2] \leq P, k = 1, 2, 3$. Consider two different values of the channel matrix,

$$\mathbf{H}_a = \begin{bmatrix} 1 & -1 & 1 \\ 1 & 1 & -1 \\ -1 & 1 & 1 \end{bmatrix}, \quad \mathbf{H}_b = \begin{bmatrix} 1 & 1 & -1 \\ -1 & 1 & 1 \\ 1 & -1 & 1 \end{bmatrix} \quad (2)$$

It is shown in [4] that taken individually either channel matrix \mathbf{H}_a or \mathbf{H}_b by itself results in a sum capacity of $\log(1 + 3P)$, so that separate coding can at most achieve a capacity $2 \log(1 + 3P)$. However, taken together, the capacity of the parallel interference channel is $3 \log(1 + 2P)$ which is achieved only by joint coding across both channel matrices. The key is the complimentary nature of the two channel matrices, i.e. $\frac{1}{2}(\mathbf{H}_a + \mathbf{H}_b) = \mathbf{I}$ which allows the receivers to cancel interference by simply adding the outputs of the parallel channels, provided the transmitters send the same symbol over both channels.

In this paper, we take this idea further by recognizing that in the ergodic setting, for a broad class of channel distributions, the channel states can be partitioned into such complimentary pairings over which interference can be aligned so that each user is able to achieve (slightly more than) half of his interference-free ergodic capacity at *any* SNR. Prior work in [3] has shown that for fading channels every user is able to achieve half the channel degrees of freedom. In other words, each user achieves (slightly less than) half of his interference-free capacity asymptotically *as SNR approaches infinity*. Fairly sophisticated interference alignment schemes are constructed to establish this achievability. However, in this work we show that for a broad class of fading distributions, including e.g. Rayleigh fading, alignment can be achieved quite simply and more efficiently. In particular, every user achieves (slightly more than) half of his interference-free ergodic capacity at any SNR. Note, however, that the stronger result is obtained at the cost of some loss of generality due to the assumption of ergodic fading and certain restrictions on the class of fading distributions, that are not needed in [3].

The next section presents the main problem statement, where we formulate both a finite-field and a Gaussian interference network model. In Section III, we derive an achievable scheme for the finite field model in Section III and in Section V we show this matches the upper bound exactly. In Section

IV, we give an achievable scheme for the Gaussian model which we show is quite close to the outer bound for the equal SNR case for any number of users. We conclude the paper in Section VI.

II. PROBLEM STATEMENT

We consider both a finite-field model and a Gaussian model. First, we will give definitions common to both models. There are K transmitter-receiver pairs (see Figure 1). Let n denote the number of channel uses. Let each message w_k be chosen independently and uniformly from the set $\{1, 2, \dots, 2^{n\tilde{R}_k}\}$ for some $\tilde{R}_k \geq 0$. Message w_k is only available to transmitter k . Let \mathcal{X} be the channel input and output alphabet. Each transmitter has an encoding function, \mathcal{E}_k , that maps the message into n channel uses:

$$\mathcal{E}_k : \{1, 2, \dots, 2^{n\tilde{R}_k}\} \rightarrow \mathcal{X}^n \quad (3)$$

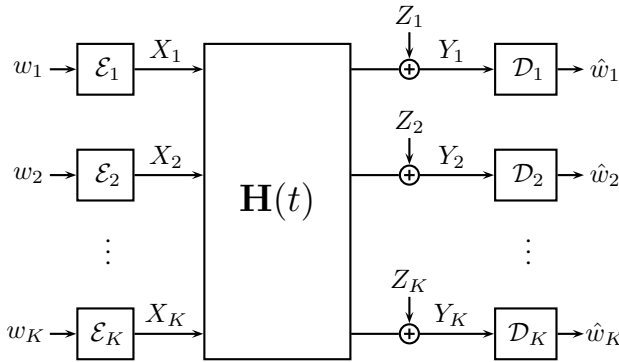


Fig. 1. K -user interference channel with fading.

We focus on the fast fading scenario where the channel matrix changes at every time step. Let $\mathbf{H}(t) = \{h_{k\ell}(t)\}_{k\ell}$ denote the channel matrix at time t and let \mathbf{H}^n denote the entire sequence of channel matrices. We assume that before each time step t , all transmitters and receivers are given perfect knowledge of the channel matrix $\mathbf{H}(t)$.

At time t , the channel output seen by receiver k is given by:

$$Y_k(t) = \sum_{\ell=1}^K h_{k\ell}(t)X_\ell(t) + Z_k(t) \quad (4)$$

where $Z_k(t)$ is additive noise. Note that addition is carried out over a finite field or the complex field, depending on the channel model.

Each receiver is equipped with a decoding function:

$$\mathcal{D}_k : \mathcal{X}^n \rightarrow \{1, 2, \dots, 2^{n\tilde{R}_k}\} \quad (5)$$

and produces an estimate \hat{w}_k of its desired message w_k .

Definition 1: We say that an ergodic rate tuple (R_1, R_2, \dots, R_K) is *achievable* if for all $\epsilon > 0$ and n

large enough there exist channel encoding and decoding functions $\mathcal{E}_1, \dots, \mathcal{E}_K, \mathcal{D}_1, \dots, \mathcal{D}_K$ such that:

$$\tilde{R}_k > R_k - \epsilon, \quad k = 1, 2, \dots, K, \quad (6)$$

$$\Pr(\{\hat{w}_1 \neq w_1\} \cup \dots \cup \{\hat{w}_K \neq w_K\}) < \epsilon. \quad (7)$$

Definition 2: The *ergodic capacity region* is the closure of the set of all achievable ergodic rate tuples.

A. Finite Field Model

The channel alphabet is a finite field of size q , $\mathcal{X} = \mathbb{F}_q$. The channel coefficients for block n , $h_{k\ell}$, are drawn independently and uniformly from $\mathbb{F}_q \setminus \{0\}$.

Remark 1: Our results can be extended to the case where the channel coefficients are sometimes zero through simple counting arguments. However, this considerably complicates the description of the capacity region.

The additive noise terms $Z_k(t)$ are i.i.d. sequences drawn from a distribution that takes values on uniformly on $\{1, 2, \dots, q-1\}$ with probability ρ and is zero otherwise. We define the entropy of $Z_k(t)$ to be $0 \leq H(Z) \leq \log_2 q$.

B. Gaussian Model

The channel inputs and outputs are complex numbers, $\mathcal{X} = \mathbb{C}$. Each transmitter must satisfy an average power constraint:

$$E[|X_k(t)|^2 | \mathbf{H}(t)] \leq \text{SNR}_k \quad (8)$$

where $\text{SNR}_k \geq 0$ is the signal-to-noise ratio. The channel coefficients for each block are drawn independently according to a Rayleigh distribution, $h_{k\ell} \sim \mathcal{CN}(0, 1)$. The noise terms are i.i.d. sequences drawn from a Rayleigh distribution, $Z_k(t) \sim \mathcal{CN}(0, 1)$.

Remark 2: The per-symbol power constraint eliminates the need to search for the optimal power allocation policy. A non-equal power allocation over channel states could certainly be included as part of our scheme but for the sake of simplicity we explicitly disallow it. See [6] for a study of power allocation for fast fading 2-user interference channels.

Remark 3: We could also allow for different interference-to-noise ratios between each transmitter and receiver (usually written as $\text{INR}_{k\ell}$). However, the achievable rate derived in Section IV would still only depend on the SNR_k parameters.

III. FINITE FIELD ACHIEVABLE SCHEME

We now develop an achievable scheme for the finite field case that can approach the symmetric ergodic capacity. First, we need some tools from the method of types [7]. Let \mathcal{H} denote the alphabet of the channel matrix so that $\mathbf{H}(t) \in \mathcal{H}$. Let $N(\mathbf{H} | \mathbf{H}^n)$ be the number of times the channel matrix $\mathbf{H} \in \mathcal{H}$ occurs in the sequence \mathbf{H}^n .

Definition 3: A sequence of channel matrices, \mathbf{H}^n , is δ -typical if:

$$\left| \frac{1}{n} N(\mathbf{H} | \mathbf{H}^n) - P(\mathbf{H}) \right| \leq \delta \quad (9)$$

where $P(\mathbf{H})$ is the probability of channel $\mathbf{H} \in \mathcal{H}$ under the channel model. Let A_δ^n denote the set of all δ -typical channel matrix sequences.

Lemma 1 (Csiszar-Körner 2.12): For any i.i.d. sequence of channel matrices, \mathbf{H}_B , the probability of the set of all δ -typical sequences, A_δ^n , is lower bounded by:

$$P(A_\delta^n) \geq 1 - \frac{|\mathcal{H}|}{4n\delta^2} \quad (10)$$

For a proof, see [7].

Lemma 2: There exists a one-to-one map, $g : \mathbb{F}_q^{K \times K} \rightarrow \mathbb{F}_q^{K \times K}$ such that $\mathbf{H} + g(\mathbf{H}) = \mathbf{I}$, $\forall \mathbf{H}$ where \mathbf{I} is the identity matrix.

Proof: Let $f : \mathbb{F}_q \rightarrow \mathbb{F}_q$ be the one-to-one map such that $f(\alpha) + \alpha = 1$ for all $\alpha \in \mathbb{F}_q$. Since \mathbb{F}_q is a finite field, $f(\cdot)$ is guaranteed to exist. Then, define $g(\cdot)$ as follows:

$$g(\mathbf{H}) = \begin{bmatrix} f(h_{11}) & -h_{12} & \cdots & -h_{1K} \\ -h_{21} & f(h_{22}) & \cdots & -h_{2K} \\ \vdots & \vdots & \ddots & \vdots \\ -h_{K1} & -h_{K2} & \cdots & f(h_{KK}) \end{bmatrix} \quad (11)$$

where $-h_{k\ell}$ is the additive inverse of $h_{k\ell}$. Clearly, $g(\mathbf{H}) + \mathbf{H} = \mathbf{I}$ and $g(\cdot)$ is one-to-one. ■

The basic idea underlying our scheme is to have each receiver decode a *linear function* of transmitted messages according to the channel coefficients. The receiver then pairs channel matrices according to the previous lemma and adds up the appropriate functions to recover the desired message (by canceling out all others). We make use of computation codes to recover functions of messages reliably at the receivers [8].

Lemma 3 (Nazer-Gastpar): Consider a K -user finite field multiple-access channel with channel output:

$$Y(t) = \sum_{k=1}^K h_k X_k(t) + Z(t) \quad (12)$$

with fixed channel coefficients $h_k \in \mathbb{F}_q$ and i.i.d. additive noise $Z(t)$ with entropy $H(Z)$. Each transmitter has a message $\mathbf{w}_k \in \mathbb{F}_q^m$. The maximum rate, $R = \frac{m}{n} \log_2 q$, at which the receiver can reliably recover the linear function $\mathbf{u} = \sum_{k=1}^K h_k \mathbf{w}_k$ is given by:

$$R = \log_2 q - H(Z) \quad (13)$$

See [8] for a full proof. The construction relies on the use of linear codes.

We will now show that all users can achieve half the single user rate simultaneously.

Theorem 1: For the K -user finite field interference channel, the rate tuple $(R_{\text{SYM}}, R_{\text{SYM}}, \dots, R_{\text{SYM}})$ is achievable where :

$$R_{\text{SYM}} = \frac{1}{2}(\log_2 q - H(Z)) \quad (14)$$

Proof: For any $\epsilon > 0$, choose $\delta < \frac{\epsilon}{2}$ and using Lemma 1 choose n large enough so that $P(A_\delta^n) \geq 1 - \frac{\epsilon}{2}$. Assume that δ and n are chosen such that $\frac{n(1-\delta)}{|\mathcal{H}|}$ is an even integer. Now condition on the event that the sequence of channel matrices,

\mathbf{H}^n , is δ -typical. Since the channel coefficients are i.i.d. and uniform, the probability of any channel $\mathbf{H} \in \mathcal{H}$ is $\frac{1}{|\mathcal{H}|}$. Since \mathbf{H}^n is δ -typical we have that for every $\mathbf{H} \in \mathcal{H}$:

$$\frac{n(1-\delta)}{|\mathcal{H}|} \leq N(\mathbf{H}|\mathbf{H}^n) \leq \frac{n(1+\delta)}{|\mathcal{H}|} \quad (15)$$

Throw out all but the first $\frac{n(1-\delta)}{|\mathcal{H}|}$ blocks for each channel realization. This results in at most a δ penalty in rate. Group together all time indices that have channel realization \mathbf{H} and call this set of indices $\mathcal{T}_{\mathbf{H}}$. We will encode for each $\mathcal{T}_{\mathbf{H}}$ separately. Let $R_{\text{BLOCK}} = \frac{1}{2}(\log_2 q - H(Z)) - \frac{\epsilon}{2}$. For the first $\frac{n(1-\delta)}{2|\mathcal{H}|}$ blocks for each channel realization \mathbf{H} , transmitter k generates a message $\mathbf{w}_{k\mathbf{H}} \in \mathbb{F}_q^m$ where $m = \frac{n(1-\delta)}{2|\mathcal{H}|}(\log_2 q)^{-1} R_{\text{BLOCK}}$. Using a computation code from Lemma 3, each transmitter k sends its message $\mathbf{w}_{k\mathbf{H}}$ for during the first $\frac{n(1-\delta)}{2|\mathcal{H}|}$ time indices in $\mathcal{T}_{\mathbf{H}}$. Receiver k makes an estimate $\hat{\mathbf{u}}_{k\mathbf{H}}$ of $\mathbf{u}_{k\mathbf{H}}$ where:

$$\mathbf{u}_{k\mathbf{H}} = \sum_{\ell=1}^K h_{k\ell} \mathbf{w}_{\ell\mathbf{H}} \quad (16)$$

For each channel realization $\mathbf{H} \in \mathcal{H}$, pair up the first $\frac{n(1-\delta)}{2|\mathcal{H}|}$ blocks with \mathbf{H} with the last $\frac{n(1-\delta)}{2|\mathcal{H}|}$ blocks with $g(\mathbf{H})$ using $g(\cdot)$ from Lemma 2. Since g is one-to-one, this procedure pairs up all of the channel blocks. During the last $\frac{n(1-\delta)}{2|\mathcal{H}|}$ blocks with channel $g(\mathbf{H})$, the transmitters use the message, $\mathbf{w}_{k\mathbf{H}}$, and a computation code from Lemma 3. The receivers make an estimate $\hat{\mathbf{v}}_{k\mathbf{H}}$ of $\mathbf{v}_{k\mathbf{H}}$ where

$$\mathbf{v}_{k\mathbf{H}} = f(h_{kk}) \mathbf{w}_{k\mathbf{H}} - \sum_{\ell \neq k} h_{k\ell} \mathbf{w}_{\ell\mathbf{H}} \quad (17)$$

where $f(\cdot)$ is the function such that $f(h_{k\ell}) + h_{k\ell} = 1$. For n large enough, the total probability of error for all computation codes is upper bounded by $\frac{\epsilon}{2}$. Receiver k makes an estimate of $\mathbf{w}_{k\mathbf{H}}$ by simply adding up the two equations to get $\hat{\mathbf{w}}_{k\mathbf{H}} = \hat{\mathbf{u}}_{k\mathbf{H}} + \hat{\mathbf{v}}_{k\mathbf{H}}$. Adding up all the rates from each channel realization, we get that each receiver can recover its message at a rate greater than $\frac{1}{2}(\log_2 q - H(Z)) - \epsilon$ with probability of error less than ϵ as desired. ■

Theorem 2: For the K -user finite field interference channel, any rate tuple (R_1, \dots, R_K) , satisfying the following inequalities is achievable:

$$R_\ell + R_k \leq \log_2 q - H(Z), \quad \forall k \neq \ell. \quad (18)$$

First, we will give an equivalent description of this rate region and then show that any rate tuple can be achieved by time sharing the symmetric rate point from Theorem 1 and a single user transmission scheme.

Lemma 4: Assume, without loss of generality, that the users are labeled according to rate in descending order, so that $R_1 \geq R_2 \geq \dots \geq R_K$. The achievable rate region from Theorem 2 is equivalent to the following rate region:

$$R_1 \leq \log_2 q - H(Z) \quad (19)$$

$$R_k \leq \beta(\log_2 q - H(Z)) \quad k = 2, \dots, K$$

$$\beta = \min \left(1 - \frac{R_1}{\log_2 q - H(Z)}, \frac{1}{2} \right) \quad (20)$$

Proof: The key idea is that only one user can achieve a rate higher than $\frac{1}{2}(\log_2 q - H(Z))$. From (18), we must have that $R_1 + R_2 \leq \log_2 q - H(Z)$ so if $R_1 > \frac{1}{2}(\log_2 q - H(Z))$ all other users must satisfy $R_k \leq 1 - R_1$. If $R_1 \leq \frac{1}{2}(\log_2 q - H(Z))$, then we have that $R_k \leq \frac{1}{2}(\log_2 q - H(Z))$ for all other users. ■

Proof of Theorem 2: We show that the equivalent rate region developed by Lemma 4 is achievable by time-sharing. First, we consider the case where $R_1 > \frac{1}{2}(\log_2 q - H(Z))$. Let $\alpha = 2(1 - \frac{R_1}{\log_2 q - H(Z)})$. We allocate αn channel uses to the symmetric scheme from Theorem 1. For, the remaining $(1 - \alpha)n$ channel uses, users 2 through K are silent, and user 1 employs a capacity-achieving point-to-point channel code. This results in user 1 achieving its target rate R_1 :

$$\frac{\alpha(\log_2 q - H(Z))}{2} + (1 - \alpha)(\log_2 q - H(Z)) \quad (21)$$

$$= \log_2 q - H(Z) - R_1 - \log_2 q - H(Z) + 2R_1 \quad (22)$$

$$= R_1 \quad (23)$$

and users 2 through K achieving R_k where:

$$R_k = \log_2 q - H(Z) - R_1 \quad (24)$$

If $R_1 \leq \frac{1}{2}(\log_2 q - H(Z))$, we can achieve any rate point with the use of the symmetric scheme from Theorem 1. ■

IV. GAUSSIAN ACHIEVABLE SCHEME

The scheme for the Gaussian case is quite similar to our finite field scheme. The key difference is that we need to quantize the channel alphabet so that we can deal with a finite set of possible matrices. By decreasing the quantization bin size, we can approach the desired rate in the limit.

Definition 4: For $\gamma > 0$, let $Q_\gamma(h_{k\ell})$ represent the closest point in $\gamma(\mathbb{Z} + j\mathbb{Z})$ to $h_{k\ell}$ in Euclidean distance. The γ -quantized version of a channel matrix $\mathbf{H} \in \mathbb{C}^{K \times K}$ is given by $\mathbf{H}_\gamma = \{Q_\gamma(h_{k\ell})\}_{k\ell}$.

Theorem 3: For the K -user Gaussian interference channel, the rate tuple (R_1, R_2, \dots, R_K) is achievable for :

$$R_k = \frac{1}{2}E [\log (1 + 2|h_{kk}|^2 \text{SNR}_k)]. \quad (25)$$

Proof: For any $\epsilon > 0$, choose $\tau > 0$ such that $P(\cup_{k\ell} \{|h_{k\ell}| > \tau\}) < \frac{\epsilon}{3}$. Also, choose $\delta < \frac{\epsilon}{3}$ and using Lemma 1 choose n large enough so that $P(A_\delta^n) \geq 1 - \frac{\epsilon}{3}$. Let γ be a small positive constant that will be chosen later to satisfy our rate requirement. We will throw out any block with a channel coefficient with magnitude larger than τ . This ensures that the γ -quantized version of the channel is of finite size. Specifically, the size of the channel alphabet \mathcal{H} , is given by $|\mathcal{H}| = (2\frac{\tau}{\gamma})^{2K^2}$. We assume that τ, γ, δ and n are chosen so that all the appropriate ratios only result in integers.

We condition on the event that the sequence of γ -quantized channel matrices, \mathbf{H}_γ^n , is δ -typical. Unlike the finite field case, the channel matrix distribution is not uniform. For all $\mathbf{H}_\gamma \in \mathcal{H}$ we have that:

$$n(1 - \delta)P(\mathbf{H}_\gamma) \leq N(\mathbf{H}_\gamma | \mathbf{H}_\gamma^n) \leq n(1 + \delta)P(\mathbf{H}_\gamma) \quad (26)$$

Throw out all but the first $n(1 - \delta)P(\mathbf{H}_\gamma)$ blocks of each channel realization. This results in at most a δ penalty in rate.

Let $h_{k\ell}^\gamma$ denote the elements of \mathbf{H}_γ . We define the following one-to-one map $g : \mathcal{H} \rightarrow \mathcal{H}$:

$$g(\mathbf{H}_\gamma) = \begin{bmatrix} h_{11}^\gamma & -h_{12}^\gamma & \cdots & -h_{1K}^\gamma \\ -h_{21}^\gamma & h_{22}^\gamma & \cdots & -h_{2K}^\gamma \\ \vdots & \vdots & \ddots & \vdots \\ -h_{K1}^\gamma & -h_{K2}^\gamma & \cdots & h_{KK}^\gamma \end{bmatrix} \quad (27)$$

Note that due to the symmetry of the channel distribution $P(g(\mathbf{H}_\gamma)) = P(\mathbf{H}_\gamma)$. Group together all time indices that have channel realization \mathbf{H}_γ and call this set of indices $\mathcal{T}_{\mathbf{H}_\gamma}$. For each channel realization $\mathbf{H} \in \mathcal{H}$, pair up the first $\frac{n}{2}(1 - \delta)P(\mathbf{H}_\gamma)$ blocks with channel \mathbf{H}_γ with the last $\frac{n}{2}(1 - \delta)P(\mathbf{H}_\gamma)$ blocks with channel $g(\mathbf{H}_\gamma)$. We ensure that we use the same channel inputs during time index i from $\mathcal{T}_{\mathbf{H}_\gamma}$ for $i = 1, 2, \dots, \frac{n}{2}(1 - \delta)P(\mathbf{H}_\gamma)$ as we do during time index $i + \frac{n}{2}(1 - \delta)P(\mathbf{H}_\gamma)$ from $\mathcal{T}_{g(\mathbf{H}_\gamma)}$. Let t_1 denote the first time and t_2 denote the second time. We have the following channel outputs:

$$Y_k(t_1) = h_{kk}(t_1)X_k(t_1) + \sum_{\ell \neq k} h_{k\ell}(t_1)X_\ell(t_1) + Z_k(t_1)$$

$$Y_k(t_2) = h_{kk}(t_2)X_k(t_1) + \sum_{\ell \neq k} h_{k\ell}(t_2)X_\ell(t_1) + Z_k(t_2)$$

Since t_1 has quantized channel \mathbf{H}_γ and t_2 has quantized channel $g(\mathbf{H}_\gamma)$ we have that the channel from $X_k(t_1)$ to $Y_k(t_1) + Y_k(t_2)$ has a signal-to-noise ratio of at least:

$$\frac{\text{SNR}_k(2(\text{Re}(h_{kk}) - \frac{\gamma}{2})^2 + (\text{Im}(h_{kk}) - \frac{\gamma}{2})^2)}{2 + \gamma^2 \sum_{\ell \neq k} \text{SNR}_\ell} \quad (28)$$

By choosing γ small enough, we can achieve:

$$R_{k\mathbf{H}_\gamma} > \sup_{h_{kk} \in \mathbf{H}_\gamma} \frac{1}{2} \log (1 + 2|h_{kk}|^2 \text{SNR}_k) - \frac{\epsilon}{3} \quad (29)$$

for each \mathbf{H}_γ . The total rate per user is given by

$$R_k = \frac{1}{|\mathcal{H}|} \sum_{\mathbf{H}_\gamma \in \mathcal{H}} P(\mathbf{H}_\gamma) R_{k\mathbf{H}_\gamma} \quad (30)$$

Taking the limit $\gamma \rightarrow 0$, we get:

$$\lim_{\gamma \rightarrow 0} R_k = \frac{1}{2} \int 1\{|h_{k\ell}| > \tau\} \log (1 + 2|h_{kk}|^2 \text{SNR}_k) P(\mathbf{H}) d\mathbf{H} - \frac{\epsilon}{3}$$

Finally, taking $\tau \rightarrow \infty$, we get:

$$\lim_{\tau \rightarrow \infty} \lim_{\gamma \rightarrow 0} R_k = \frac{1}{2}E[\log (1 + 2|h_{kk}|^2 \text{SNR}_k)] - \frac{\epsilon}{3} \quad (31)$$

Thus, there exist γ and τ such that we achieve $R_k > \frac{1}{2}E[\log (1 + 2|h_{kk}|^2 \text{SNR}_k)] - \epsilon$ with probability $1 - \epsilon$. ■

V. UPPER BOUNDS

We now briefly describe upper bounds for both the finite field case and the Gaussian case. The finite field upper bound matches the achievable performance thus yielding the ergodic capacity region. For the Gaussian case, we demonstrate that our achievable performance is very close to the upper bound when the transmitters have equal power constraints.

Theorem 4: For the K -user finite field interference channel, the ergodic capacity region is:

$$R_\ell + R_k \leq \log_2 q - H(Z), \quad \forall k \neq \ell. \quad (32)$$

Proof: The required upper bound follows from steps similar to those in Appendix II of [3]. Without loss of generality, we upper bound the rates of users 1 and 2. Note that the capacity of the interference channel only depends on the noise marginals. Thus, we can assume that $Z_1(t) = h_{12}(t)(h_{22}(t))^{-1}Z_2(t)$. Let $\tilde{Y}_2(t) = h_{12}(t)(h_{22}(t))^{-1}Y_2(t)$.

We give the receivers full access to the messages from users 3 through K as this can only increase the outerbound. From Fano's inequality, we have that $n(R_1 + R_2 - \epsilon_n)$ where $\frac{\epsilon_n}{n} \rightarrow 0$ as $n \rightarrow \infty$ is upper bounded as follows:

$$\begin{aligned} &\leq I(w_1; Y_1^n) + I(w_2; w_1, \tilde{Y}_2^n) \\ &= I(w_1; Y_1^n) + I(w_2; \tilde{Y}_2^n | w_1, X_1^n) \\ &= I(w_1; Y_1^n) + I(w_2; \{h_{12}(t)X_2(t) + Z_1(t)\}_{t=1}^n | w_1, X_1^n) \\ &= I(w_1; Y_1^n) + \\ &\quad I(w_2; \{h_{11}(t)X_1(t) + h_{12}(t)X_2(t) + Z_1(t)\}_{t=1}^n | w_1, X_1^n) \\ &= I(w_1; Y_1^n) + I(w_2; Y_1^n | w_1) \\ &= I(w_1, w_2; Y_1^n) \\ &\leq n(\log_2 q - H(Z)) \end{aligned}$$

Similar outer bounds hold for all receiver pairs k and ℓ . Comparing these to the achievable region in Theorem 2 yields the capacity region. ■

Using the results from [6], we have the following outer bound on the ergodic capacity region of the K -user Gaussian interference channel.

Theorem 5: For the K -user Gaussian interference channel with i.i.d. Rayleigh fading, the following constraints are an outer bound to the ergodic capacity region:

$$\begin{aligned} R_k + R_\ell &\leq E \left[\log \left(1 + |h_{k\ell}|^2 \text{SNR}_\ell + \frac{|h_{kk}|^2 \text{SNR}_k}{1 + |h_{\ell k}|^2 \text{SNR}_k} \right) \right] \\ &+ E \left[\log \left(1 + |h_{\ell k}|^2 \text{SNR}_k + \frac{|h_{\ell\ell}|^2 \text{SNR}_\ell}{1 + |h_{k\ell}|^2 \text{SNR}_\ell} \right) \right] \quad \forall k \neq \ell \end{aligned}$$

In Figure 2, we plot the performance of our scheme versus the upper bound from Theorem 5 for the equal SNR, equal rate per user case. The plot is valid for any number of users K . This shows that ergodic interference alignment can provide close-to-optimal performance for any number of users so long as they have the same SNR constraint.

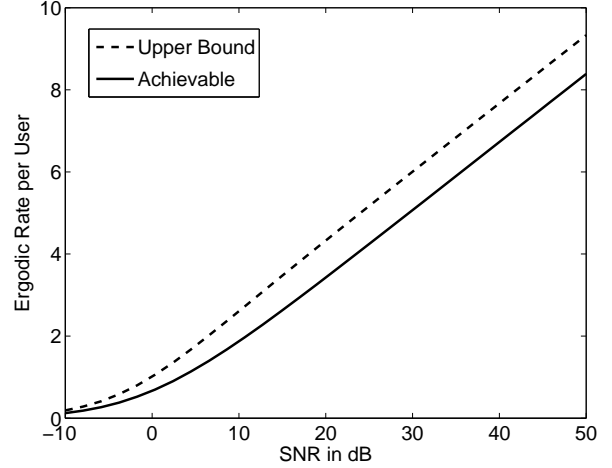


Fig. 2. Ergodic symmetric rate per user and upper bound for the K -user Gaussian interference channel with equal transmit powers: $\text{SNR}_1 = \text{SNR}_2 = \dots = \text{SNR}_K$.

VI. CONCLUSIONS

We developed a new communication strategy, ergodic interference alignment, that codes efficiently across parallel interference channels. With this strategy, every user in the channel can attain at least half the rate available to them in the single-user setting. Moreover, we showed that for finite field model this achievable scheme matches the outer bound exactly, thus yielding the ergodic capacity region. Future work will concentrate on finding the ergodic capacity region (to within a constant gap) for the Gaussian model. We are also working on a generalized version of this strategy for the case where the transmitters have no channel state information a priori and must be informed of the channel through a low-rate feedback link.

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